

#### **DATA LOAD SPECIFICATIONS**

**FOR** 

**SKILLS DEVELOPMENT PROVIDERS (SDP'S)** 

AND ASSESSMENT CENTRES (AC'S) ON THE

POPULATION AND SUBMISSION OF THE LEARNER ENROLMENT AND READINESS FOR EISA FILE

# SUBMISSION OF LEARNER ENROLMENTS GUIDELINE FOR SDP's AND AC'S

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#### VERSION CONTROL

POLICY NAME	DATA LOAD SPECIFICATIONS FOR SDP's and AC's
RESPONSIBLE ENTITY	QCTO
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### Approved by:

Chief Executive Officer	Chief Director: Occupational Quality Assurance	Chief Director: Occupational Qualification Management
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Date:	Date:	Date:

#### 1 OVERVIEW

The information contained in this document has the objective of allowing Skills Development Providers (SDP's) or Assessment Centres (AC's) in need of submitting learner data to the QCTO. In doing so, the SDP or AC will be able to supply the QCTO with the electronic information with regard:

- Learner basic information
- 2. Learner qualification information and
- 3. Readiness for the External Integrated Summative Assessment (EISA)
- 4. Mode of Achievement (Training or RPL)

It is of the utmost importance that the criteria contained in this document is followed to the letter, as the criteria described here is applied during the upload and importing process at QCTO certification level. By not complying 100% with the criteria listed in this document will result in your submission not being processed, therefore resulting in a rejection of your submission accordingly and learners not allowed for the EISA and certificated.

It must further be understood that it is not the responsibility of the QCTO to fix any data on the behalf of the SDP or AC in trying to process learner data submissions. This would be in direct breach of the mandate the QCTO is playing in the process.

It must further be understood that by making use of the learner data submission process, the Skills Development Provider or Assessment Centre must have, prior to submission, have received and apply the following in the Learner Data File:

Its accreditation/approval status as a SDP or Assessment Centre with the key focus on:

- 1. The overall accreditation period being a SDP and or Assessment Centre. (accreditation start and end date)
- 2. The overall approval period being an Assessment Partner.
- 3. The actual focuses of accreditation (for what the SDP and assessment centre being accredited for), inclusive of the actual accreditation start and end date for each of the qualification/s the SDP and or assessment centre might be accredited for.

In essence, when the SDP or AC is submitting Learner Data to the QCTO, the Date of capturing or achievement or reporting will only be valid if it is within the Accreditation Period

#### 2 NAMING CONVENTION OF LEARNER ENROLMENT AND READINESS FOR EISA FILE

The Learner Enrolment and Readiness for EISA file must meet the following naming convention before submitting to the QCTO: LEISAyyyymmdd-SDP/AC name

- The "LEISA" will always be the start of the naming convention
- The "yyyymmdd" will be the date that the file was updated
- The "SDP/AC name" will be the name of the institution as per the Accreditation letter

#### 3 INSTRUCTIONS AND GUIDELINES

This section will provide specific instructions with regards to the following

- 1. Submission Process
- 2. File Layouts
- 3. Symbol classification and meanings
- 4. Acronyms and meanings contained within the File

#### 3.1 SUBMISSION PROCESS

The following sequence needs to be adhered to during your submission of learner data and EISA information to the QCTO:

- . Learner data submission must be made 21 days after learner enrolments are done at the accredited SDP
- ii. Learner data submissions indicating EISA readiness must be done three months in advance of the scheduled EISA to allow learners to enter for the EISA
- iii. The Assessment Quality Partner must submit the EISA results in file 03 format as per timeframes agreed to with the assessment partner and in line with the QCTO policies and guidelines.
  - iv. Learner enrolment and readiness for EISA files must be supplied via an E-Mail attachment to QCTO email address: <a href="learnerenrolments@qcto.org.za">learnerenrolments@qcto.org.za</a>

#### 3.2 FILE LAYOUTS

The File is in Excel format type and should be submitted only in Excel. SDP's or AC's must not convert the File to any other format such as PDF etc. when submitted to the QCTO.

Further to this, the File has fixed column headings and a specific order.

No columns may be deleted or moved in the sheet to allow for QCTO uploading of learner enrolment data to its learner enrolments system.

#### 3.3 SYMBOL CLASSIFICATION AND MEANING

You will observe the following symbols within the "Require" column in the tables to follow.

Criteria	Explanation
Conditional	Conditional requirement in field
Conditional – Assessment Partner	Conditional requirement in field to be supplied only by the Assessment Partner
Yes - SDP	Required field when SDP supply data (Data must be provided)
Yes - Assessment Partner	Required field when ASSESSMENT PARTNER supply data (Data must be provided)
No	Not required field (Field may be left blank)

#### 3.4 ACRONYMS AND MEANINGS CONTAINED WITHIN THE FILE

CD Compact Disc				
EISA	External Integrated Summative Assessment			
FAL	First Additional Language			
HL	Home Language			
ID	National Identity Document			
MIS	Management Information System			
NCV	National Certificate (Vocational)			

NLRD	National Learners' Records Database		
NQF	National Qualifications Framework		
NSC	National Senior Certificate		
OFO	Organising Framework for Occupations		
OQSF	Occupational Qualifications Sub-Framework		
POPI Act	Protection of Personal Information Act		
QAS	Qualification Assessment Specification		
QCTO	Quality Council for Trades and Occupations		
RPL	Recognition of Prior Learning		
RSA	Republic of South Africa		
SAQA	South African Qualifications Authority		
SC	Senior Certificate		
SDP Skills Development Provider			
STATSSA Statistics South Africa			

#### 4 POPULATING THE LEARNER ENROLMENT AND READINESS FOR EISA FILE

This section contains specification details on what is required for each column on the Learner Enrolment and Readiness for EISA File.

File name convention: LEISAyyyymmdd-SDP/AC name

All data in the Excel sheet must be entered as TEXT. No formatting to %, General, custom, dates numbers etc is allowed.

#### Please note:

The Assessment Partner can only provide EISA results to the QCTO if the information was received in **Learner enrolment and readiness for EISA** (LEISAyyyymmdd-SDP name) from the QCTO.

i.e. Learner final results and subsequent certification can only take place if the SDP/AC has submitted the Learner Enrolment and readiness for EISA file to the QCTO timeously.

Field Name	Туре	Column A	Require		
SDP Code	TEXT		Yes – QCTO		
SDP code refers to a unique code (Not accreditation Number)		compliance			
provided to the SDP by a QCTO	provided to the SDP by a QCTO  Official. The Accreditation then the Assessment Partner code is used in the SDP code field.				
Number may be used only when	1. Each record will be associated with a SDP code.				
there is no code for the SDP	2. The first character of the data value is a valid, non-space character				

Field Name	Туре		Column B	Require
Qualification Id	Text			Yes –SDP
	The value     Comply accredit	t character of th ue given: with the actual ed on the QC	Qualification ID for TO MIS system.	mat for which the SDP and Assessment Centre is on registered on the OQSF of the NQF

Field Name	Туре		Column C	Require	
National Id	Text			Yes - QCTO	
	Conditions for compliance				
	The data supplied contains numerical values only and has a length of exactly 13 characters				

Field Name	Type		Column D	Require	
Learner Alternate ID	TEXT			Yes - QCTO	
	Conditions for compliance  If a National ID is not available, an Alternate ID like a passport number together with the Learner Last Name will form the unique identifier.				

Field Name	Туре	Column E	Require
Alternative Id Type	Text		Yes – QCTO

#### **Allowed codes**

## **527** = Passport Number or Foreign ID Number

**533** = None

**565** = Refugee Number

**538** = Work Permit Number

**540** = Birth Certificate number

**570** = Change from Alternate ID to National ID

#### Conditions for compliance

- 1. The first character of the data value is a valid, non-space character
- 2. If the National ID field contains data and the Learner Alternate ID is blank, then the Alternative ID Type field will code 533.
- 3. If the National ID field is blank and the Learner Alternate ID contains data, then 533 will not be allowed.

Field Name	Type		Column F	Require		
Equity Code	Text			Yes – QCTO		
Allowed codes	Conditions for compliance					
BA = Black African BC = Coloured BI = Indian/Asian Oth = Other U = Unknown Wh = White	1. The firs	t character of t	he data value is a v	valid, non-space character		

Field Name	Type		Column G	Require	
Nationality Code	Text			Yes – QCTO	
Allowed codes	Conditions for compliance				
U = Unspecified SA = South Africa SDC = SADC except SA (i.e. NAM to ZAI) NAM = Namibia	1. The first character of the data value is a valid, non-space character				

BOT	= Botswana	
ZIM	= Zimbabwe	
ANG	= Angola	
MOZ		
LES		
SWA	= Swaziland	
MAL	= Malawi	
ZAM	= Zambia	
MAU	= Mauritius	
TAN	= Tanzania	
SEY	= Seychelles	
ZAI	= Zaire	
ROA	= Rest of Africa	
EUR	= European countries	
AIS	= Asian countries	
NOR	= North American	
	countries	
SOU	= Central and South	
	American countries	
AUS	= Australia Oceania	
	countries	
OOC	= Other and rest of	
	Oceania	
NOT	= N/A: Institution	

Field Name	Туре		Column H	Require	
Home Language Code	Text			Yes – QCTO	
Allowed codes	Conditions for compliance				
Eng = English Afr = Afrikaans Oth = Other SASL = South African Sign Language Sep = sePedi [also known as Northern Sotho / Sesotho sa Lebowa] Ses = seSotho Set = seTswana Swa = siSwati Tsh = tshiVenda Xho = isiXhosa Xit = xiTsonga Zul = isiZulu Nde = isiNdebele	1. The	e first character of	the data value is	a valid, non-space character	

Field Name	Туре		Column I	Require
Gender Code	Text			Yes – QCTO
Allowed codes	Condition	ns for compliance		
M = Male F = Female				a valid, non-space character cator defined in the National ID number.

Field Name	Туре		Column J	Require
Citizen Resident Status Code	Text			Yes – QCTO
Allowed codes	Condition	ns for compliance		
SA = South Africa O = Other D = Dual (SA plus other) PR = Permanent Resident U = Unknown	1. T	he first character of	the data value is	a valid, non-space character

Field	Name	Туре		Column K	Require	
Socio	economic Status Code	Text			Yes – QCTO	
Allov	lowed codes Conditions for compliance					
	<u> </u>					
01	=Employed	<ol> <li>The first character of the data value is a valid, non-space character</li> </ol>				
02	=Unemployed,					
	looking for work					
03	=Not working – not					
	looking for work					
04	=Home-maker (not					
	working)					
06	=Scholar/student (not					
	working)					
07	=Pensioner /retired (not					
	working)					
80	=Not working –					
	disabled person					
09	=Not working – not					
4.0	wishing to work					
10	=Not working – Not					
	elsewhere classified					
97	=N/A: Aged <15					
98	=N/A: Institution					
U	Unspecified					

Field	Name	Туре	Column L	Require
Disab	oility Status Code	Text		Yes – QCTO
Allowed codes Conditions for compliance				
N 01 02 03 04 05 06 07 09	None Sight (even with glasses) Hearing (even with a hearing aid) Communication (talking, listening) Physical (moving, standing, grasping) Intellectual (difficulties in learning); retardation Emotional (behavioural or psychological) Multiple Disabled but Unspecified		f the data value is	a valid, non-space character

Field Name	Туре	Column M	Require
Disability Rating	Text		Yes – QCTO
Allowed codes  01 = No difficulty  02 = Some difficulty  03 = A lot of difficulty  04 = Cannot do at all  06 = Cannot yet be determined  60 = May be part of multiple difficulties (TBC)  70 = May have difficulty (TBC)  80 = Former difficulty - none now	1. This		09 is selected in the Disability Status Code field valid, non-space character

Field Name	Туре		Column N	Require		
Immigrant Status	Text			Yes – QCTO		
Allowed codes	Conditions f	Conditions for compliance				
01 = Immigrant 02 = Refugee 03 = SA Citizen	1. The	The first character of the data value is a valid, non-space character				

Field Name	Туре		Column O	Require	
Learner Last Name	Text			Yes – QCTO	
	Conditions for compliance				
	The first character of the data value is a valid, non-space character				
	The data value may contain characters that exist in the following range of characters: abcdefghijkImnopqrstuvwxyzABCDEFGHIJKLMNOPQRTSUVWXYZ' – ^ " ' `				

Field Name	Type		Column P	Require		
Learner First Name	Text			Yes – QCTO		
	Conditions for	r compliance				
	The first character of the data value is a valid, non-space character					
				rs that exist in the following range of characters: (LMNOPQRTSUVWXYZ' – ^		

Field Name	Туре		Column Q	Require	
Learner Middle Name	Text			No	
	Conditions for compliance				
	The data	value may c	ontain characters	valid, non-space character s that exist in the following range of characters: LMNOPQRTSUVWXYZ' – ^	

Field Name	Туре		Column R	Require
Learner Title	Text			Yes – QCTO
Allowed codes	Conditions fo	r compliance		
Mr Mrs Ms Miss Dr Prof	1. The fi	rst character of the	e data value is a v	/alid, non-space character

Field Name	Type		Column S	Require	
Learner Birth Date	Text			Yes – QCTO	
	Conditions for	r compliance			
	<ol> <li>The first character of the data value is a valid, non-space character</li> <li>The format of the Date of Birth is entered as YYYYMMDD. Please note that this is not a date format field and cannot contain / or – or .</li> </ol>				

Field Name	Туре		Column T	Require	
Learner Home Address 1	Text			Yes – QCTO	
	Conditions fo	r compliance			
	<ol> <li>The learner home address refers to the address used by the learner as enrolled at the SDP a not the learner home address of the parent. All learners offer the qualifications residing in So Africa and it must be a valid address in SA.</li> <li>Where the learner does not have a home address, the SDP can use its address.</li> <li>The first field for the home address CANNOT only contain a number but must refer to the strenumber and name e.g. 471 Frances Baard street</li> <li>The first character of the data value is a valid, non-space character</li> <li>The data value may contain characters that exist in the following range of characters: abcdefghijkImnopqrstuvwxyzABCDEFGHIJKLMNOPQRTSUVWXYZ - 1234567890#&amp;()\\(\Lambda\):',</li> </ol>				

Field Name	Туре		Column U	Require
Learner Home Address 2	Text			Yes – QCTO
	<ol><li>The dat abcdefg</li></ol>	t character of the a value may conta	ain characters tha	lid, non-space character t exist in the following range of characters: KLMNOPQRTSUVWXYZ -

Field Name	Type		Column V	Require
Learner Home Address 3	Text			Conditional – QCTO
	Conditions fo  1. The firs 2. The dat abcdefg	t character of the a value may conta	ain characters tha	lid, non-space character It exist in the following range of characters: KLMNOPQRTSUVWXYZ -

Field Name	Туре		Column W	Require
Learner Postal Address 1	Text			Yes – QCTO
	available 2. The first 3. The date	stal address of the e or the home add t character of the a value may only	dress can be repe data value is a va contain character	I be used if the postal address of the learner is not eated in this column. lid, non-space character s that exist in the following range of characters: JKLMNOPQRTSUVWXYZ -1234567890#&()\\:',

Field Name	Туре		Column X	Require	
Learner Postal Address 2	Text			Yes – QCTO	
	Conditions for compliance				
	<ol> <li>The postal address of the provider may will be used if the postal address of the learner is not available.</li> <li>The first character of the data value is a valid, non-space character</li> <li>The data value may only contain characters that exist in the following range of characters: abcdefghijklmnopqrstuvwxyzABCDEFGHIJKLMNOPQRTSUVWXYZ -1234567890#&amp;()\\hat{\Lambda}:',</li> </ol>				

Field Name	Туре		Column Y	Require	
Learner Postal Address 3	Text			Conditional - QCTO	
	Conditions for	<u>compliance</u>			
	<ol> <li>The postal address of the provider may will be used if the postal address of the learner is not available.</li> <li>The first character of the data value is a valid, non-space character</li> <li>The data value may only contain characters that exist in the following range of characters: abcdefghijklmnopqrstuvwxyzABCDEFGHIJKLMNOPQRTSUVWXYZ -1234567890#&amp;()\\hat{\Lambda}:',</li> </ol>				

Field Name	Type		Column Z	Require
Learner Home Address Postal Code	Text			Yes – QCTO
	must ha leading :	character of the over 4 characters the contains the contains the contains will contains the cont	nerefore it is crucia	id, non-space character. Home address Postal code all to ensure that your data is entered as TEXT to allow for exist in the following range of characters:

Field Name	Туре		Column AA	Require
Learner Postal Address Post	Text			Yes – QCTO
Code	characte zero's (0	character of the cers therefore it is center)  a value will contain	rucial to ensure th	d, non-space character Postal code must have 4 nat your data is entered as TEXT to allow for leading exist in the following range of characters:

Field Name	Туре		Column AB	Require
Learner Phone Number	Text			No
		character of the o		id, non-space character exist in the following range of characters:

Field Name	Туре		Column AC	Require
Learner Cell Phone Number	Text			No
		character of the o		id, non-space character exist in the following range of characters:

Field Name	Туре		Column AD	Require
Learner Fax Number	Text			No
	2. The d	rst character of th		valid, non-space character at exist in the following range of characters:

Field Name	Type		Column AE	Require
Learner Email Address	Text			No
	<ol> <li>The e-r</li> <li>The date</li> </ol>	et character of the mail address will c ta value may cont	ontain the @ charain characters tha	on-space character racter. at exist in the following range of characters: JKLMNOPQRTSUVWXYZ1234567890<> -@

Field Name	Туре		Column AF	Require
Province Code	Text			Yes – QCTO
Allowed codes	Conditions for	<u>compliance</u>		
1 =Western Cape 2 =Eastern Cape 3 =Northern Cape 4 =Free State 5 =Kwazulu Natal 6 =North West 7 =Gauteng 8 =Mpumalanga 9 =Limpopo N =SA National (i.e. in SA but province unspecified) X =Outside SA	1. The firs	t character of the	data is a valid, no	on-space character

Field Name	Туре		Column AG	Require
STATSSA Area Code	Text			Yes – QCTO
	2. The coo 3. The Sta	t character of the de is selected fron ts SA Area code	n the code list pro	on-space character ovided by STATSSA using the Home Address of the learner and will reflect

Field Name	Type		Column AH	Require
POPI Act Agree	Text			Yes – QCTO
Yes No	Conditions for  1. The firs	<del>-</del>	data is a valid, no	on-space character

Field Name	Type		Column Al	Require
POPI Act Date	Text			Yes – QCTO
	2. The date	character of the d provided will be i	ata is a valid, non n <mark>YYYYMMDD</mark> for r is No, the POPL	

Field Name	Туре		Column AJ	Require
Expected Training Completion Date	Text			Yes - QCTO
The date that the training is envisaged to be completed	This is a require  1. The first 2. Date for	ed field. character of the mat will be YYYY		n-space character Id and cannot contain / - or .

Field Name	Туре		Column AK	Require	
Statement of Results Status	Text			Yes - QCTO	
Allowed Codes 01 = Statement of Results	Conditions for compliance				
issued  02 = Statement of Results not yet issued	1. The first character of the data is a valid, non-space character				

4. Where the SOR is not yet issued (status code 02) the SOR date should be left blank

Field Name	Туре		Column AL	Require		
Statement of Results Issue Date	Text			Conditional - QCTO		
	Conditions for compliance  The date must be provided when the learner is found competent in all the modules of the qualification.					
	2. The date required 3. It should this ens 4. Where t	e format will be in I field. Please not I be noted that th ures access to th he SOR status is	te that this is not a cee Statement of Rese EISA and confirm (01) the date must	ormat at the point where the SOR is issued and is then a date format field and cannot contain / - or . sults issue date cannot be greater than the EISA date as nation of compliance for entry		

Field Name	Type		Column AM	Require
Assessment Centre Code	Text			Conditional – QCTO
Assessment Centre Code refers to the accreditation number given to the assessment centre where the learner will be assessed at for the EISA	1. Each re 2. The firs	ecord will be asso at character of the	data is a valid, no	sessment Centre Code. on-space character R information the assessment centre code is a required field

Field Name	Type		Column AN	Require
Learner Readiness for EISA Type Id	Text			Yes - QCTO
Allowed Codes  1 = Enrolled  2 = RPL for Access to EISA determined by SDP  3 = Mixed Mode to EISA  4 = SDP Training and assessment for readiness to EISA  5 = SDP e-learning training and assessment for readiness to EISA  6 = RPL for Access to EISA determined by Assessment Partner/Quality Partner	<ol> <li>If the lea</li> <li>be blank</li> <li>If any oth</li> </ol>	a valid code seled rner is in enrolled	status (01) the So	provided OR status will reflect code (02) and the SOR issue date will the SOR issue status should reflect (01) and the SOR

Field Name	Туре		Column AO	Require		
FLC	Text			Yes - SDP		
01 = FLC certificate (competent)	Conditions for compliance					
02 = RPL	This field must contain data					
	1. The first character of the data value must be a valid, non-space character					

03 = Grade 12/NCV -Level 4	2. Only valid code indicators will be allowed.
Mathematics(ML)/English	
with	
Mathematics/Mathematical	
Literacy, English HL/FAL or	
First or Second Language	
pass	
04 = Not yet competent	
05 = FLC not completed yet	
06 = Not applicable	
(qualification on NQF 5 and	
above)	
07 = Enrolled for FLC	
08 = N3 Mathematics and	
Business Language	

Field Name	Type		Column AP	Require			
FLCStatementofresultnumber	Text			Yes - SDP			
	Conditions for compliance Conditions for compliance The following may be supplied 1. NSC/SC or NCV Certificate number or N3 Certificate Number 2. FLC Statement of Results Number 3. RPL – provide code RPLYYYYMMDD						
	(Where RPL is done to indicate recognition for the FLC requirements, then the number supplied should be RPL followed by the date of RPL assessment)						

Field Name	Туре		Column AQ	Require	
Date Stamp	Text			Yes – QCTO	
Date stamp refers to the last date this record was last updated					

## **END OF DOCUMENT**